



Setting the Standards for  
Home Energy Efficiency

**Proposed Amendment:** Revisions to Chapter 9 Sections 904 and 912

**Proponent:** RESNET Quality Assurance Committee

**Applies to:** Mortgage Industry National Home Energy Rating Systems Standards

**Proposed Amendment:**

**904.4.2.4** Each QA Provider shall conduct onsite QA field reviews throughout the year at a rate based on shall be conducted on an ongoing basis as appropriate the volume of ratings being certified by the Provider. The Provider shall conduct onsite field reviews no less frequently than quarterly. Each quarter the Provider shall complete a minimum of 1% onsite field reviews based on the number of ratings certified the previous quarter. For example, if a Provider certified 201 ratings in a given quarter, that Provider shall perform no fewer than 3 onsite field reviews the following quarter. Completed, and at a minimum annually.

**904.4.2.9** If a QA Designee is required to complete onsite field reviews QA inspections on at least two (2) homes for a given Rater (i.e. the Rater performed more than 100 ratings), the QA Designee may use one centralized – proctored rating QA event, and only one, for review of the Rater in lieu of an independent confirmation of the rating for the home as required for the balance of homes evaluated for the onsite inspection process.

**904.5.2.2** QA Designees must report all significant non-compliance by a Provider to RESNET within 5 business days after it becomes known to the QA Designee so that RESNET may assist the QA Designee in working with a Provider to come back into compliance.

**912.2.1** Types of probation:

**912.2.1.1** Administrative Probation. Results from violations found through a Provider's QA process, RESNET quality assurance monitoring or through the RESNET complaint resolution process. RESNET shall notify the Provider of the specified deficiencies and shall require that specific corrective action, set forth in the notification, be taken not later than twenty (20) business days after the date set forth in such notification. Probations resulting from these violations shall remain confidential. These violations may include but not limited to:

**912.2.1.1.7** Failure to adhere to one or more minor provisions of the RESNET Standards.

**912.2.1.2 Disciplinary Compliance Probation.**

**912.2.1.2.6 Willful failure to complete field or file QA.**

**912.4.10 Reinstatement after Revocation. RESNET shall apply the following in reinstating a Provider or QAD after revocation of accreditation:**

**9.12.4.10.1 A Provider or QAD shall be allowed to re-apply in accordance to Sections 912.4.1 and 912.4.2 after a period of 12 months from date of revocation.**

**9.12.4.10.1.2 A Provider or QAD shall not be allowed to re-apply in accordance with 912.4.6.**

**9.12.4.10.3 In the event of administrative revocation, as defined in 912.4.3, 912.4.4 and 912.4.5, no timeframe penalty shall be imposed on re-application provided all applicable obligations, including Quality Assurance and membership in good standing, have been met to the satisfaction of RESNET Staff at the time of re-application.**

### **Proposed Effective Date**

The proposed amendment will go into effect thirty days after adoption by the RESNET Standards Management Board.

### **Justification**

There is a need for clarification in the adopted Addendum 6 for Chapter 9 in order to properly implement the new requirements.

Section 904.4.2.4 – Quality assurance reviews of providers by RESNET staff in 2013 found that only requiring the Quality Assurance Designee only have to conduct field reviews on raters is too long. Too many providers find themselves in trouble when they wait until the end of the year to conduct their reviews. This should be on a quarterly basis.

Section 904.4.2.9 – Provides more clarification to meet the intent of the section.

Section 904.5.2.2 – Clarifies that the Quality Assurance Designee must immediately inform RESNET in a case where a significant non-compliance by a Provider occurs. This will ensure that the issue is addressed as soon as possible.

Section 912.2.1.1.7 – Clarifies the section only applies to minor issues

Section 912.2.1.2.6 – Adds the failure to complete required field or file QA for a cause for Disciplinary Compliance Probation

Section 9.12.4.10 – Adds the ability for a revoked Provider or QAD to reapply.