

# CONFLICT OF INTEREST POLICY AND CODE OF ETHICAL CONDUCT

## SCHEDULE 1: CONFLICT OF INTEREST DISCLOSURE FORM 2019

***Notice to RESNET directors, officers and employees.***

**This form is to initially be submitted upon your acceptance of election/appointment as a member or officer of the Board of Directors or as an employee of Residential Energy Services Network, Inc. (the "Company"). You are further required to re-submit this form each year thereafter that you serve as a director, officer or employee of the Company as well as each time you or an immediate family member receives a personal financial benefit or has any other interest in a transaction resulting from your position as a member or officer of the Board of Directors or as an employee of the Company. Further, by signing this Disclosure Form, you are warranting and representing that you have received and have read, reviewed and understood the Bylaws and policies of the Company, including but not limited to the Conflict of Interest Policy of the Company.**

This conflict of interest questionnaire is designed to help directors, officers, and employees of RESNET identify situations that present potential conflicts of interest and to provide RESNET with a procedure that, if observed, will allow a transaction to be treated as valid and binding even though a director, officer, or employee has or may have a conflict of interest with respect to the transaction. In the event there is an inconsistency between the requirements and the procedures of RESNET's Conflict of Interest Policy, and those in federal or state law, the law shall control.

Conflict of Interest Defined. For purposes of this questionnaire, the following circumstances shall be deemed to create Conflicts of Interest:

**A. Outside Interests.**

- (i) A Contract or Transaction between RESNET and a Responsible Person or Family Member.
- (ii) A Contract or Transaction between RESNET and an entity in which a Responsible Person or Family Member has a Material Financial Interest or of which such person is a director, officer, agent, partner, associate, trustee, personal representative, receiver, guardian, custodian, conservator, or other legal representative.

**B. Outside Activities.**

- (i) A Responsible Person competing with RESNET in the rendering of services or in any other Contract or Transaction with a third party.
- (ii) A Responsible Person's having a Material Financial Interest in; or serving as a director, officer, employee, agent, partner, associate, trustee, personal representative, receiver, guardian, custodian, conservator, or other legal representative of, or consultant to; an entity or individual that competes with RESNET in the provision of services or in any other Contract or Transaction with a third party.

Name: David B. Goldstein

---

Name of Employer: Natural Resources Defense Council

---

Title with Employer: Energy Co-Director Climate and Clean Energy Program

---

Address: 111 Sutter St 21F San Francisco CA 94104

---

Telephone Number (circle primary):

Home \_\_\_\_\_ Work: \_\_\_\_\_ Cell: 415 264 4433 \_\_\_\_\_  
Email Address: \_\_\_\_\_

---

AT THIS TIME, I HAVE NOTHING TO DISCLOSE

OR

I am disclosing the following significant financial interests related to my position as a member or officer of the Board of Directors or as an employee of Residential Energy Services Network, Inc. (Responses should include interests of the individual, his/her spouse, and/or any dependent children.) **USE ADDITIONAL SHEETS FOR EACH ENTITY IN WHICH YOU HAVE A DISCLOSABLE INTEREST**

Name of Entity or transaction in which you have an interest ("Entity"):

David B. Goldstein and Associates, Inc.

---

Description of transaction in which you have an interest:

None to date

---

Address of Entity:

---

Principal Type of Business:

Consulting

---

Are you a director, officer, partner, trustee, or employee of the Entity? \_\_\_\_\_ YES  NO

Do you have an investment of \$1,000 or more in the Entity? If so, how much? \_\_\_\_\_ YES  NO

Do you hold an equity position of 5% in the Entity? If so, what percentage? 49 YES  NO

Do you receive contingent compensation from the Entity? If so, please describe: \_\_\_\_\_ YES  NO

---

Have you received a loan from the Entity for which the amount is outstanding? YES  NO

Do you have an interest in any intellectual property rights? broad question. I have some IP but not related to

RESNET YES  NO

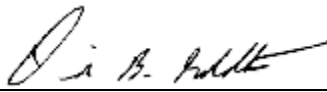


NOTE: I am also on the Boards of three other nonprofit organizations: New Buildings Institute, Institute for Market Transformation, Consortium for Energy Efficiency.

These are not organizations in which I have any financial interest in terms of payments, contracts, or ownership of assets.

Certification:

- I agree to update this disclosure to RESNET either on an annual basis, or as new reportable significant financial interests are obtained.
- I agree to cooperate in the development of a plan to address any actual or potential conflict of interest identified with RESNET via this Disclosure Form.
- I agree to comply with any conditions or restrictions imposed by RESNET to manage, reduce, or eliminate actual or potential conflicts of interest or forfeit the award. I further understand and agree that my violation of the Conflict of Interest Policy of the Company is grounds for disciplinary and corrective action.
- I declare under the penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Signed:  \_\_\_\_\_

Date: 20 September 2022

Name: David B. Goldstein \_\_\_\_\_