

Associate Member Candidate

David Goldstein

Natural Resources Defense Council

dgoldstein.nrdc@gmail.com

415.264.4433

1. Please describe in light of the responsibilities of the RESNET Board why you are the right person to be a member of the RESNET Board of Directors

One of the challenges that RESNET faces is finding strategic consensus among the interests and concerns of its major stakeholders. I have the respect of stakeholders from very different interests and, in part because I do not have any financial stake in housing-related businesses, I can help create consensus positions and decisions for RESNET that advance the public good and achieve RESNET's long term goals. If re-elected, I will continue to promote the use of ratings in the existing homes market through advocacy on federal and state policy. Retrofits are essential to meeting the Paris Climate Agreement's 1.5-degree goal.

2. Why is this the right time for you to serve on the RESNET Board?

I have been a leader in helping to steer ratings and codes in the direction of incorporating time-of-use measurements of climate pollution, so that energy efficiency will remain relevant in a world of a clean energy grid. I have been instrumental in getting HERS/ERI scores introduced into the International Energy Conservation Code, into the Consortium for Energy Efficiency's specification for new home efficiency programs, and into ASHRAE Standard 90.2. I currently chair the 90.2 committee, allowing me to help expand the use of ratings in this stretch code to high rise and retrofit homes.

3. Please explain your knowledge and/or experience in terms of each of the primary responsibilities of the RESNET Board

Achieving RESNET's goals for energy efficiency will require unity of commitment by the RESNET Board. I have been a RESNET Board member for 18 years, and served as President for two terms. I believe I have the respect and credibility of the other Board members regardless of their positions on controversial issues, and their trust to resolve issues. The track record of what RESNET achieved during my two-year term as President helps me develop effective strategic direction and internal consensus and cooperation.

4. Describe your experience in either leadership and/or serving on the board of a non-profit organization.

In addition to serving on RESNET's Board, I have chaired the Boards of the Institute for Market Transformation and the New Buildings Institute, the former since its establishment in 1995 and the latter since 2000. I have also served on the Board of the Consortium for Energy Efficiency for over a dozen years, currently as its Second Vice Chair, and as a Board delegate for the Alliance to Save Energy,

so I have plenty of experience concerning what Boards are supposed to do and what they are well-served not to try to do.

5. What would you contribute to the RESNET Board?

My main contribution is based on two aspects of my experience: the ability to advance policies that move the needle on energy efficiency, and my history of bringing people together around their common interests. The markets for home energy efficiency are underperforming due to multiple market failures. Wider use of ratings can correct those failures, but only as part of a suite of complementary policies that are still lacking in the U.S. I can represent RESNET in the forums where these policies are developed and implemented to produce a system that creates true markets in efficiency based on ratings.

CONFLICT OF INTEREST POLICY AND CODE OF ETHICAL CONDUCT
SCHEDULE 1: CONFLICT OF INTEREST DISCLOSURE FORM 2019
Revised April 17, 2019

Notice to RESNET directors, officers and employees.

This form is to initially be submitted upon your acceptance of election/appointment as a member or officer of the Board of Directors or as an employee of Residential Energy Services Network, Inc. (the "Company"). You are further required to re-submit this form each year thereafter that you serve as a director, officer or employee of the Company as well as each time you or an immediate family member receives a personal financial benefit or has any other interest in a transaction resulting from your position as a member or officer of the Board of Directors or as an employee of the Company. Further, by signing this Disclosure Form, you are warranting and representing that you have received and have read, reviewed and understood the Bylaws and policies of the Company, including, but not limited to, the Conflict of Interest Policy of the Company.

This conflict of interest questionnaire is designed to help directors, officers, and employees of RESNET identify situations that present potential conflicts of interest and to provide RESNET with a procedure that, if observed, will allow a transaction to be treated as valid and binding even though a director, officer, or employee has or may have a conflict of interest with respect to the transaction. In the event there is an inconsistency between the requirements and the procedures of RESNET's Conflict of Interest Policy, and those in federal or state law, the law shall control.

Conflict of Interest Defined. For purposes of this questionnaire, the following circumstances shall be deemed to create Conflicts of Interest:

A. Outside Interests.

- (i) A Contract or Transaction between RESNET and a Responsible Person or Family Member.
- (ii) A Contract or Transaction between RESNET and an entity in which a Responsible Person or Family Member has a Material Financial Interest or of which such person is a director, officer, agent, partner, associate, trustee, personal representative, receiver, guardian, custodian, conservator, or other legal representative.

B. Outside Activities.

- (i) A Responsible Person competing with RESNET in the rendering of services or in any other Contract or Transaction with a third party.
- (ii) A Responsible Person's having a Material Financial Interest in; or serving as a director, officer, employee, agent, partner, associate, trustee, personal representative, receiver, guardian, custodian, conservator, or other legal representative of, or consultant to; an entity or individual that competes with RESNET in the provision of services or in any other Contract or Transaction with a third party.

Name:

David B. Goldstein

Name of Employer:

Natural Resources Defense Council

Title with Employer:

Energy Director, C&CE Program

Address:

111 Sutter St. 21F, San Francisco, CA 94104

Telephone Number (circle primary):

Home 415 771 7959 _____ Work: _____ 415 875 6100
_____ Cell: _____ 415 264 4433 _____

Email Address:

Dgoldstein.nrdc@gmail.com

AT THIS TIME, I HAVE NOTHING TO DISCLOSE

OR

I am disclosing the following ongoing relationships and interests that may present a conflict of interest related to my position as a member or officer of the Board of Directors or as an employee of Residential Energy Services Network, Inc.*

*RESNET's Conflict of interest policy defines interests as:

Any director, officer or employee of the Company who has a direct or indirect financial interest in any transaction of the Company is an Interested Person. Also, Interested Persons are any third party, whether or not a contractor to the Company, and whether a person or entity:

- a) That supplies goods or services to the Company;
- b) From whom the company leases property or equipment;
- c) With whom the company is dealing or planning to deal in connection the gift, purchase or sale of real estate, securities, or other property;
- d) That is a competitor or affinity organization to the Company;
- e) Who is a donor or other supporter of the Company; and
- f) That is an agency, organization or association that affects the operations of the Company.

Finally, any person who is related by blood or marriage, including by adoption, to any such person, or any person or persons who in the aggregate own more than 35% of the profit, voting or beneficial interest of any such entity, are Interested Persons. (Responses should include interests of the individual, his/her spouse, and/or any dependent children.)

USE ADDITIONAL SHEETS FOR EACH ENTITY IN WHICH YOU HAVE A DISCLOSABLE INTEREST

Name of Organization or transaction in which you have an interest ("Entity"):

New Buildings Institute

Description of interest in which you have:

Board Member

Address of Entity:

Portland, OR

Principal Type of Business/Organization:

Nonprofit research and advocacy organization

Are you a director, officer, partner, trustee, or employee of the Entity? YES NO

Do you have an investment of \$1,000 or more in the Entity? If so, how much? YES NO

Do you hold an equity position of 5% in the Entity? If so, what percentage? N/A YES NO

Do you receive contingent compensation from the Entity? If so, please describe: YES NO

Have you received a loan from the Entity for which the amount is outstanding? YES NO

Do you have an interest in any intellectual property rights? YES NO

Certification:

- I agree to update this disclosure to RESNET either on an annual basis, or as new reportable significant financial interests are obtained.

- I agree to cooperate in the development of a plan to address any actual or potential conflict of interest identified with RESNET via this Disclosure Form.
- I agree to comply with any conditions or restrictions imposed by RESNET to manage, reduce, or eliminate actual or potential conflicts of interest or forfeit the award. I further understand and agree that my violation of the Conflict of Interest Policy of the Company is grounds for disciplinary and corrective action.
- I declare under the penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Name of Organization or transaction in which you have an interest ("Entity"):

Institute for Market Transformation

Description of interest in which you have:

Board Chair

Address of Entity:

Washington DC

Principal Type of Business/Organization:

Nonprofit research and advocacy organization

Are you a director, officer, partner, trustee, or employee of the Entity? YES NO

Do you have an investment of \$1,000 or more in the Entity? If so, how much? YES NO

Do you hold an equity position of 5% in the Entity? If so, what percentage? N/A YES NO

Do you receive contingent compensation from the Entity? If so, please describe: YES NO

Have you received a loan from the Entity for which the amount is outstanding? YES NO

Do you have an interest in any intellectual property rights? YES NO

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- I declare under the penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Name of Organization or transaction in which you have an interest (“Entity”):

Consortium for Energy Efficiency

Description of interest in which you have:

Board Member

Address of Entity:

Boston, MA

Principal Type of Business/Organization:

Nonprofit research and advocacy organization

Are you a director, officer, partner, trustee, or employee of the Entity? YES NO

Do you have an investment of \$1,000 or more in the Entity? If so, how much? YES NO

Do you hold an equity position of 5% in the Entity? If so, what percentage? N/A YES NO

Do you receive contingent compensation from the Entity? If so, please describe: YES NO

Have you received a loan from the Entity for which the amount is outstanding? YES NO

Do you have an interest in any intellectual property rights? YES NO

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- I declare under the penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Name of Organization or transaction in which you have an interest (“Entity”):

David B. Goldstein and Associates, Inc.

Description of interest in which you have:

Half ownership; principal

Address of Entity:
San Francisco, CA

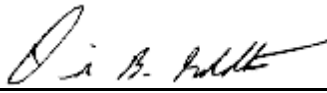
Principal Type of Business/Organization:

Consulting Firm

- | | | |
|--|---|-----------------------------|
| Are you a director, officer, partner, trustee, or employee of the Entity? | YES <input checked="" type="checkbox"/> | NO <input type="checkbox"/> |
| Do you have an investment of \$1,000 or more in the Entity? If so, how much? ~\$2000 | YES x | NO <input type="checkbox"/> |
| Do you hold an equity position of 5% in the Entity? If so, what percentage? <u>49%</u> | YES x | NO <input type="checkbox"/> |
| Do you receive contingent compensation from the Entity? If so, please describe: | YES <input type="checkbox"/> | NO x |
| <hr/> | | |
| Have you received a loan from the Entity for which the amount is outstanding? | YES <input type="checkbox"/> | NO x |
| Do you have an interest in any intellectual property rights? (not yet) | YES <input type="checkbox"/> | NO x |

Certification:

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- I declare under the penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Signed: 

Date: 10 August 2020

Name: _____ David B. Goldstein _____